



TD Mutual Funds
TD Investment Services Inc.
TD e-Series Funds
Checklist for Opening a TD e-Series Funds Account

This application can be used by existing TD Canada Trust customers to open Retirement Savings Plans or Personal Non-Registered accounts and must be used for opening new TD e-series Mutual Fund Accounts only. For converting an existing TD Mutual Funds Account to a TD e-series Funds account, please use the Conversion form. Any changes made to the application must be initiated at the point of change. For all other Mutual Fund account type needs including Tax-Free Savings Accounts, please visit your local TD Canada Trust branch.

Steps to complete this package:

- Account Application and Customer Investor Profile
 - Both documents must be completed in order to open a TD e-Series Funds Account. It will help you determine your Investor Profile and the optimal asset mix for your portfolio.
 - When completing the Customer Investor Profile questionnaire, please ensure that, for questions 6 to 11, you circle the letter corresponding to your answer in addition to recording your score.
 - if any changes have been made to the application please ensure that your initials are placed beside the change.

- Understanding and Consent Form
 - A signed Understanding and Consent Form is mandatory and provides your acknowledgement of the terms and conditions for the TD e-Series Funds Account.

- Please provide your TD Canada Trust account number or TD Mutual Funds Account number in the space provided.

- Third Party Statement (if applicable)
 - The Third Party Statement must only be completed if you answered "YES" to the question found in section 1 of the account application "Will someone other than the account holder(s) be making frequent deposits to or receive financial benefit from the account?" (Other than the account holder or Beneficiary named on the account application).

- In order to avoid delay please complete correctly, sign and initial all applicable areas. Forward your completed documents to:
 - TD e-Series Funds Administration
 - Liberty Centre
 - 3500 Steeles Avenue East
 - Tower 1, Level 1
 - Markham, Ontario
 - L3R 0X1

All applications are subject to approval by TD Investment Services Inc.

If you need assistance at any point in the application process simply visit www.tdcanadatrust.com/tdeseriesfunds and click on the "Contact Us" link on the right navigation bar.

Once we have received your completed and signed application, your account can generally be opened within two business days. As soon as your application has been processed, you will receive detailed confirmation via email. This will include information on how to access your new account.



TD Investment Services Inc. TD e-Series Funds Account Application

Branch Use Only

TD Mutual Funds Account # _____
Mutual Funds Representative¹ Name _____ Rep. Code _____ Branch No. _____

Choose only one:

- Retirement Savings Plan (RSP)
- Personal (Non-Registered)
- Joint Non-Registered (Please ensure that Joint Applicant completes sections 2, 6, and 7)

Spousal

- Yes **TD Mutual Funds Account** _____
- No **TD Canada Trust Bank Account** _____ - _____

1. Please Tell Us About Yourself

- Mr. Mrs. Miss Ms. Other _____ Male Female

Name _____
Given Name Initial(s) Family Name

Social Insurance No. _____ **MANDATORY** Date of Birth _____ **MANDATORY**
Yr Mo Day Note: Applicant must be of legal Age of Majority.

Federal Government requires your Social Insurance Number (SIN). You must by law provide us with your SIN so that we may supply the Canada Revenue Agency with reports of income earned on your investments.

Language of Correspondence

- English (if a Quebec resident see Section 7)
- French

Note: TDIS accounts are only available to Canadian residents

Permanent Address _____ City _____

Province _____ Country _____ Postal Code _____ Number of Dependents _____

Telephone home () _____ Telephone business () _____

E-mail address (**mandatory for TD e-Series Funds Account**) _____

Please complete if mailing address is different from above:

Mailing address _____

Employer _____ Employment Start Date _____ Type of Business _____
Month/Year

Employer's Address _____

City _____ Province _____ Country _____ Postal Code _____

Occupation _____

- Purpose of Account (**Choose one of the following**):
- Retirement Savings
 - Special Purchase (auto/home)
 - Vacation Travel Expenses
 - Day to Day Living Expenses or Trust
 - Investment Savings
 - School Expenses

Have you borrowed money in order to make an investment in this account? Yes No

Using borrowed money to finance the purchase of securities involves greater risk than a purchase using cash resources only. If you borrow money to purchase securities, your responsibility to repay the loan and pay interest as required by its terms remains the same even if the value of the securities purchased declines.

I/We confirm having read this disclosure. (please initial) Applicant **X** _____ Spousal/Joint Applicant **X** _____

Will someone other than the account holder(s) be making frequent deposits to or receive financial benefit from the account? No Yes (Please complete the Third Party Statement Form # 592018)

2. For a Joint Non-Registered TD e-Series Funds Account, Please Complete the Following

You would like a Joint Account with right of survivorship with (Right of Survivorship not applicable to Quebec residents):

For Quebec residents, choose who you are joint with Spouse Non-Spouse

- Mr. Mrs. Miss Ms. Other _____

who is your _____ Social Insurance No. _____ **MANDATORY** Date of Birth _____ **MANDATORY**
Relationship Given Name Initial(s) Family Name Note: Joint Applicant must be of legal Age of Majority.

Address _____ City _____

Province _____ Country _____ Postal Code _____ Number of Dependents _____

You hereby confirm that the units in the Funds and Portfolios are to be owned by each of you as joint tenants and not as tenants in common, and we are hereby authorized to take instructions or directions from either of you alone with respect to the Plan. A Joint Plan with **right of survivorship** is not available in Quebec. **You, the Joint Subscriber, hereby confirm that the time frame, objective and risk tolerance indicated in the Customer Investor Profile for the account are in agreement with your own personal objectives.**

Investment Knowledge

- Limited
- Average
- Above Average
- Sophisticated

Portfolio Value

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$99,999
- \$100,000 - \$499,999
- \$500,000 - \$999,999
- Over \$1,000,000

Personal Net Worth

What are your:
Liquid Assets \$ _____
+ Fixed Assets \$ _____
= Total Assets \$ _____
- Total Liabilities \$ _____
= Estimated Personal Net Worth \$ _____

Personal Annual Income

- Under \$25,000
- \$25,000 - \$49,999
- \$50,000 - \$74,999
- \$75,000 - \$125,000
- Over \$125,000

Age

_____ yrs

Which of the following best describes the type of investments you currently own, or have owned in the past?

- Little or no investments
- Mostly GICs or Term Deposits
- Mostly bonds or income mutual funds
- A mix of money market, bond and equity investments and/or mutual funds
- Mostly stocks and/or equity mutual funds

Employer _____ Employment Start Date _____ Type of Business _____
Month/Year

Employer's Address _____

City _____ Province _____ Country _____ Postal Code _____

Occupation _____

Purpose of Account (Choose one of the following): Retirement Savings Vacation Travel Expenses Investment Savings School Expenses
 Special Purchase (auto/home) Day to Day Living Expenses or Trust

3. Please Complete this Section for Your Registered Plan

a) For a Spousal RSP

Your spouse or common-law partner, whose name and personal data appear below, will be claiming these RSP contributions as a deduction.

Mr. Mrs. Miss Ms. Other _____

Given Name _____ Initial(s) _____ Family Name _____

Social Insurance No. _____ Date of Birth _____
Yr Mo Day

b) Designation of Beneficiary (not applicable to Quebec residence)

The space below may be used to designate a beneficiary for your Plan in the event of your death.

Name _____
Given Name _____ Initial(s) _____ Family Name _____ Relationship _____

Note: Your designation of beneficiary is subject to applicable law. Beneficiary designation for an applicant who is a resident of Quebec can only be made by way of a will or marriage contract. You may wish to discuss this designation with your legal advisor. Your designation of a beneficiary by means of a designation form will not be revoked or changed automatically by any future marriage or divorce. Should you wish to change your beneficiary in the event of a future marriage or divorce, you will have to do so by means of a new designation.

4. Banking Information

Please include your TD Canada Trust bank account information below. If your Bank account is NOT with TD Canada Trust, a void cheque must accompany the application.

If you are applying to open a Joint Non-Registered TD Mutual Funds Account, the account(s) must be held in the name of each Joint Applicant.

Canadian \$: Transit # _____ Institution # _____ Account # _____

Account Holder's Name(s) _____

US \$: Transit # _____ Institution # _____ Account # _____

Account Holder's Name(s) _____

Non-Registered accounts only (If not completed, investment income will be automatically reinvested.)

- Please select one:
- Investment income from dividends and interest will be automatically reinvested in additional units.
 - Investment income should be directed to my bank account.
 - Investment income should be sent by cheque to the address noted in Section 1.

5. Consent to the Collection, Use and/or Disclosure of Your Information

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your Information as described in the Privacy Agreement (located in the TD Investment Services Inc. Agreement and Disclosure Document) and on td.com, including for, but not limited to, the purposes of identifying you, providing ongoing service, understanding your financial needs, protecting us both from fraud and error, complying with legal and regulatory requirements, and marketing products and services to you by telephone, fax, and automatic dialing-announcing device, at the numbers you have provided us, or by internet, mail, email or other methods.

You have read the Consent to the Collection, Use and/or Disclosure of Your Information and agree that:

- We may share information within the TD Bank Group
- We may not share information within TD Bank Group except as reasonably necessary to service your account.

You may obtain our privacy code - "Protecting Your Privacy" - or review your options for refusing or withdrawing this consent, including your option not to be contacted about offers of products or services, by contacting your branch or calling us at 1-866-222-3456.

6. Important Customer Information

TD Investment Services Inc. ("TDIS") is a separate company from and a wholly-owned subsidiary of The Toronto-Dominion Bank ("TD Bank").

Mutual fund investments fluctuate in value and are not insured by the Canada Deposit Insurance Corporation or the Régie de l'assurance-dépôts du Québec and are not guaranteed by The Toronto-Dominion Bank. TDIS is not responsible for activities its representatives conduct for TD Bank. TD Bank is not responsible for activities its employees conduct for TDIS.

TD Asset Management Inc. ("TDAM") pays TDIS a trailing commission for as long as you hold units of TD Mutual Funds. It is for the services and advice provided to you.

You have read and hereby acknowledge the Important Customer Information

Applicant Yes Joint Applicant Yes

You acknowledge that the intended use of your account is for investing purposes.

7. Application, Authorization, Disclosure, and Registration of Your Retirement Savings Plan

Unless otherwise indicated, throughout the application and in our other documents, the words "you", "your" and "yours" mean the applicant (and any co-applicant or other individuals with authority over the account). The words "we", "us" and "our" mean TD Investment Services Inc. (TDIS) and/or TD Bank Group.*

You hereby acknowledge that we will send you the TDIS Agreement and Disclosure Document within two (2) business days of acceptance of first contribution which includes: the Declarations of Trust for Registered Plans, Customer Relationship Agreement, Risk of Borrowing to Invest, Closing Accounts, Commitment to Privacy and Complaint Handling Procedures.

You understand that Mutual Funds subscriptions are made on the terms and conditions described in the prospectus and that it is subject to approval by TD Asset Management Inc. (TDAM). TDAM reserves the right to accept or reject any purchase order within one (1) business day of receipt of the order.

By signing this application form, I agree to the terms set out in the TDIS Account Agreement and that all information I have provided to TD Investment Services (TDIS) respecting this account or plan is accurate and complete, including any applicable information I have provided respecting any individual authorized to transact on this account. TDIS reserves the right to request updated customer information and/or additional supporting information at any time.

You acknowledge that for our mutual protection, TDIS will record all telephone calls by which your orders are placed.

If you are applying to open an "in-trust" account, you agree that:

you are liable to us for all liabilities and obligations respecting the account in your personal capacity and not as a trustee, agent or otherwise;

we have no obligation to observe the terms of any trust, whether written, verbal, implied, constructive or otherwise, and you are solely responsible for ensuring any restrictions of the trust and any applicable law are adhered to;

you will indemnify us against any loss, claim, damages, liability and expenses of any kind whatsoever arising out of operation of the account;

you have not relied upon us for any legal or tax advice and it is your sole responsibility to obtain appropriate professional advice to ensure your needs and objectives are satisfied;

where the account is opened among two or more persons we are hereby authorized to take orders from any persons together or alone.

If you are applying to open a Joint Non Registered Account with Right of Survivorship (Joint Account with right of survivorship not available in Quebec):

You declare that your interests in the joint account are as joint tenants with full rights of survivorship and not as tenants-in-common. In the event of your death, the entire beneficial interest in the joint account shall be vested in the surviving joint account holder(s) on the same terms and conditions as held, without in any way releasing the decedent's estate from the joint and several liability of the decedent account holder. We are hereby authorized to take to instructions or directions from either of you alone

Your direction to open this joint account shall constitute your irrevocable direction to us to pay the balance of the account to the surviving joint account holder(s) on your death on request without making any further inquiries as to any claims by any other party, including your heirs, executors, estate trustees, administrators, assigns of the decedent account holder or any other third party and without any recognition of such claims.

You, the Joint Applicant, hereby confirm that you are in agreement with the time frame, objectives and risk tolerance indicated in the Customer Investor Profile for the account.

Request to register a TD Mutual Funds Retirement Savings Plan To The Canada Trust Company (the "Trustee"):

You hereby request that the trustee apply to register your Plan as a Retirement Savings Plan (*the Plan*) under the Income Tax Act (*Canada*). You understand that all references in the Declaration of Trust to the application or the Plan are to be read and understood as references to this application. You agree on behalf of yourself, your executors and administrators to be bound by the terms of the Plan. All contributions to the Plan are to be invested by the Trustee as directed by you in accordance with the terms of the Plan and held in your account subject to the terms of the Plan. You understand that all amounts received by you or your spouse or common-law partner from this Plan are taxable under the provisions of the Income Tax Act (*Canada*).

Most TD Mutual Funds charge a short term trading fee within a certain number of days after purchase. Please refer to the applicable Fund Facts Document or ask a Mutual Funds Representative for applicability to the funds you are purchasing.

* The TD Bank Group means The Toronto-Dominion Bank and its affiliates, who provide deposit, investment, loan, securities, trust, insurance and other products or services.

X

Signature of Applicant/Annuitant

Date

X

Signature of Joint Applicant (if applicable)

Date

X

Signature of Contributing Spouse or common-law partner (for spousal RSP only)

Date

For Québec Residents Only. Language Preference Agreement (Québec residents who have requested English language only).

If you are a Québec resident, you agree with the following statement: It is my express wish that this application and any related documents be in English. *J'ai exigé que la présente formule et tous les documents s'y rattachent soient rédigés en anglais.*

X

Initial

Branch Use Only

Mutual Funds Representative Signature _____ Rep. Code [] [] [] [] [] []

Branch Manager Signature _____ Date: _____ Branch Manager Rep. Code [] [] [] [] [] []

Branch Telephone # _____

Checklist:

- Customer Investor Profile (Form # 521894) - **MANDATORY**
- Transaction Form (Form # 595738) - **For Lump Sum Purchases and PPP, please complete this form.**
- For transfers into TD Mutual Funds accounts (If applicable, please attach the appropriate transfer forms and a copy of a most recent statement.)
- Use of Information (Please ensure CustomerLink is updated.)

TD Mutual Funds and TD Managed Assets Program are managed by TD Asset management Inc. and are distributed by TD Investment Services Inc. ("TDIS"), a wholly-owned subsidiary of The Toronto-Dominion Bank. Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus, which contains detailed investment information and is available from your dealer, before investing. Mutual funds are not covered by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed or insured. Their values change frequently. There can be no assurances that a money market fund will be able to maintain its net asset value per unit at a constant amount or that the full amount of your investment will be returned to you. Past performance may not be repeated.

¹ Mutual Funds Representatives with TD Investment Services Inc. distribute mutual funds at TD Canada Trust.



TD e-Series Funds utilize the power of the Internet and other cost saving technologies that allow TD Investment Services Inc. (TDIS) to keep expenses lower and pass the savings on to me.

I hereby acknowledge and consent to the following terms and conditions of the TD e-Series Funds Account:

1. I have registered for TD Canada Trust EasyWeb Internet banking ("EasyWeb"), or will register for any other service or delivery channel as TDIS may advise in the future , and I hereby accept the terms and conditions pertaining to the use of EasyWeb or such other service or delivery channel.
2. I shall manage my account and correspond with TDIS exclusively via electronic means through EasyWeb and email, except as indicated below, as the TD e-Series Funds Account is electronic only.
3. TDIS will deliver all statements, trade confirmations, prospectuses, annual reports, semi-annual financial statements, and other materials (the "Documents") electronically. TDIS will notify me by email when Documents are ready for viewing. Trade confirmations will be posted for 60 days. All other Documents will remain available for viewing until they are replaced with more recent versions. I understand that the Fund Facts documents and related notices will be presented to me within EasyWeb, as required, before I submit my request to purchase, switch or set up of a pre-authorized purchase plan.
4. TDIS will make all Documents containing account information accessible in a designated area within EasyWeb or an alternative service or delivery channel.
5. I am responsible for viewing and downloading the Documents after TDIS alerts me by email that they are available for viewing. I understand that I will require Adobe Acrobat Reader or other document viewing software, as TDIS may advise from time to time, to read some Documents.
6. Paper Documents are available at no cost, and I may request delivery of paper Documents by regular mail at any time. However, any such request, except in the event of an EasyWeb or alternative service or delivery channel service interruption, may be considered by TDIS, at its sole option, to constitute a revocation of this TD e-Series Funds Understanding and Consent by me.
7. In the event of an EasyWeb or alternative service or delivery channel service interruption, I will be able to contact TDIS by telephone through TD Canada Trust's EasyLine telephone banking service, or the alternative service or delivery channel by telephone (if applicable), and such telephone contact does not constitute a breach of this TD e-Series Funds Understanding and Consent.
8. TDIS may, at any time, at its option, satisfy any Document delivery obligations by regular mail, without changing the nature of the TD e-Series Funds Account.
9. I will advise TDIS promptly through electronic means, through EasyWeb, or by phone at 1-800-560-6374 of any change to my email address.
10. TDIS may amend any or all of these terms and conditions at any time upon notice to me and I agree to be bound by them.
11. I may, at any time, revoke this TD e-Series Funds Understanding and Consent, which has the effect of revoking my consent to electronic delivery of Documents. Upon such revocation, I will no longer be eligible to hold e-Series units of TD Mutual Funds or TD Managed Assets Program Portfolios, and TDIS may, upon notice to me, switch all of my e-Series units into the corresponding Investor Series units and my TD e-Series Funds Account will thereby be converted into a TD Mutual Funds Account or TD Managed Assets Portfolio Account, as the case may be, or TDIS may redeem my e-Series units.

X

 Applicant Name

X

 Signature of Applicant

 Date

X

 Joint-Applicant Name

X

 Signature of Joint-Applicant (if applicable)

 Date



Sender Details:

ACF2 ID	Branch #	Date

Account Holder Information:

Branch #	Account # or Credit Facility #	Last Name or Name of Business	First Name

Examples of when a Third Party Form is required:

- A customer opens a single LOC in which the monies used and repayment schedule are decided by another individual who may not have qualified.
- The purpose of the account is to collect funds and transfer it to a friend or family member overseas (i.e. collecting rent from tenants).
- Informal Trust Accounts without a 'family' relationship.
- In Trust Donation Accounts.
- All trustees of a Formal Trust or Testamentary Trust account.
- Estate Accounts where the beneficiary is **not** an executor or signing officer for the estate.

Examples of when a Third Party Form is not required:

- Youth Accounts.
- Power of Attorney.
- Spousal RRSP Accounts.
- An account opened by a lawyer, an accountant, or a real estate broker/sales representative; and TDBFG has reasonable grounds to believe that the account will be used only for clients of the lawyer, accountant, or real estate broker/sales representative.
- Individuals making deposits as directed by account holder.
- Borrowing money to pay for a child's wedding.

A third party form must be completed when a customer responds "yes" to the third party question. For account types with an online indicator, ensure the third party indicator is entered correctly, based on the examples above, before proceeding.

Third Party's Name:

Last Name or Name of Business First Name Middle Initial

Third Party's Address:

Street Number Street Name Apartment Number

City Province (Canada) or Country

Third Party's Occupation or Nature of Business	Relationship to Account Holder	Third Party's Date of Birth (yyyy/mm/dd)	Business Incorporation Number (BIN, if applicable)	BIN Place of Issuance (if applicable)

Third Party's Name:

Last Name or Name of Business First Name Middle Initial

Third Party's Address:

Street Number Street Name Apartment Number

City Province (Canada) or Country

Third Party's Occupation or Nature of Business	Relationship to Account Holder	Third Party's Date of Birth (yyyy/mm/dd)	Business Incorporation Number (BIN, if applicable)	BIN Place of Issuance (if applicable)

Note:

- **If more than 2 third parties, complete another form and attach together.**
- **Typed form submissions are preferred for legibility ease.**
- **Submit completed form to the SSA or designated officer for review then forward to the Creekside BSC, Cost Centre #5172.**
- **For additional information and the definition on a Third Party, please refer to Administration Manual, section 0319 > Account Opening Requirements.**